Desiccation, dormancy, and storage of *Pterocarya fraxinifolia* (Juglandaceae) seeds: application in Hyrcanian and Colchian forest conservation

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**Abstract:** *Pterocarya fraxinifolia* (Poir.) Spach (Juglandaceae) is a model relic tree species native to South Caucasus and is a typical element of threatened riparian forests. Intensive land transformations, which are common in Transcaucasia, have resulted in loss of natural habitat and population decline of the species. One of the methods of ex situ conservation is seed banking. Cryopreservation in liquid nitrogen (−196 °C) is of particular interest, as it allows safe preservation of valuable plant genetic resources. However, the feasibility of seed cryopreservation is related to the desiccation tolerance and intrinsic composition of the seeds. In this study, we examined the physiological traits of *Pterocarya fraxinifolia* seeds, for which desiccation tolerance is unknown or controversial, and their feasibility for cryopreservation. Additionally, we tested stratification methods for dormancy assessment. Results showed that seeds survived desiccation to a moisture content of 2.8% with a germination rate of 64%. Stratification at a temperature of 3 °C for 8 weeks proved to be both fast and effective. Seed moisture content ranging from 2.8% to 18.1% was determined to be safe for cryopreservation. There was no difference in seedling emergence in seeds stored for 1 year regardless of the storage temperature (−3, −18, or −196 °C). Based on our results, *Pterocarya fraxinifolia* seeds can be classified as orthodox. This study demonstrates for the first time the feasibility of cryopreserving *Pterocarya fraxinifolia* seeds.

**Key words:** seed banking, cryopreservation, biodiversity, critical moisture content, Georgia.

**Résumé :** *Pterocarya fraxinifolia* (Poir.) Spach (Juglandacées) est une espèce arborescente relique modèle originaire du Caucase du Sud et un élément typique des forêts riveraines menacées. D’importantes transformations du territoire, fréquentes en Transcaucasie, ont entraîné la perte d’habitats naturels et le déclin des populations de cette espèce. Une des méthodes de conservation ex situ consiste à avoir recours aux banques de semences. La cryoconservation dans l’azote liquide (−196 °C) est particulièrement intéressante parce qu’elle permet de conserver de façon sécuritaire les ressources génétiques d’espèces végétales précieuses. Cependant, la faisabilité de la cryoconservation des graines dépend de leur tolérance à la dessiccation et de leur composition intrinsèque. Dans cette étude, nous avons examiné les traits physiologiques des graines de *Pterocarya fraxinifolia* dont la tolérance à la dessiccation est inconnue ou controversée ainsi que la possibilité de les cryoconserver. De plus, nous avons testé des méthodes de stratification pour évaluer la dormance. Les résultats montrent que les graines ont survécu à la dessiccation jusqu’à une teneur en humidité de 2.8 % avec un taux de germination de 64 %. La stratification à une température de 3 °C pendant huit semaines s’est avérée à la fois rapide et efficace. Une teneur en humidité des graines de 2.8 % à 18.1 % était sécuritaire pour la cryoconservation. Il n’y avait pas de différence dans l’émergence des semis issus de graines conservées pendant un an peu importe la température (−3 °C, −18 °C, ou −196 °C) à laquelle elles avaient été conservées. Sur la base de nos résultats, les graines de *Pterocarya fraxinifolia* peuvent être qualifiées d’orthodoxes. Cette étude démontre pour la première fois qu’il est possible de cryoconserv er les graines de *Pterocarya fraxinifolia*. [Traduit par la Rédaction]

**Mots-clés :** création d’une banque de semences, cryoconservation, biodiversité, teneur en humidité critique, Géorgie.

**Introduction**

The conservation of plant genetic resources is an established and globally recognized priority because the variability of species is fundamental to forestalling their extinction. It is estimated that there are more than 300 000 species of land plants, all of which are essential for the proper functioning of local ecosystems (Corlett 2016). However, many populations of plants are currently threatened by loss, fragmentation, and degradation of habitats, as well as overexploitation, invasive species, and climate change (Groom et al. 2005). Conservation programs for threatened species can follow a variety of criteria (i.e., economic value, ecological value, or species uniqueness). One of the unique and irreplaceable parts of the plant kingdom is relic trees. These surviving remnants have been present on the earth for millions of years, representing evolutionary processes and outlasting environmental, biogeographical, and climate changes. Despite their continued exis-
In situ conservation has its limitations. A holistic approach to conservation is often difficult to fund and maintain (Diamond 1989); therefore, an ex situ conservation method such as seed banking seems to be most suitable for seed-bearing species, as it provides an inexpensive and effective long-term strategy (Beweley et al. 2013; Zaritzky 2015). The viability of stored seeds depends on the seed moist content (MC) and storage temperature (Vertucci and Roos 1990). Seed storability at low temperature depends on the seed’s desiccation tolerance, as excess water can crystallize and cause intracellular damage (Wesley-Smith et al. 2014). The practical categorization proposed by Roberts (1973) and then supplemented by Ellis et al. (1990) considers three categories of seeds based on their desiccation sensitivity: orthodox (seeds that tolerate desiccation below a 5% MC, based on fresh mass), recalcitrant (seeds that do not tolerate desiccation), and intermediate (seeds that exhibit characteristics of both former categories (i.e., seeds that tolerate moderate desiccation but lose viability during subsequent storage at sub-zero temperatures)). However, careful study of seed physiology is important: seed storage behavior is often more complex, as cellular responses to water and temperature thresholds can result in desiccation sensitivities in between these categories (Walters 2015).

Cryopreservation in liquid nitrogen (LN; −130 to −196 °C) represents a safe and cost-effective option for the long-term storage of germplasm. In recent years, cryopreservation has become a successful method for conserving unique (i.e., endemic, endangered, and economically valuable) species, both as vegetative tissues (e.g., dormant buds and shoot tips and generative organs such as seeds and embryos (Engelman et al. 2011; Lambardi and Shaarawi 2017)). For orthodox seed species, cryopreservation is an alternative to traditional storage at −20 °C and is of particular importance for long-term storage (tens to hundreds of years; Reed 2008); it is the only method for the long-term storage of intermediate and recalcitrant seed species. Cryopreservation of the seeds of woody species has proven to be effective for both orthodox and recalcitrant seeds in many tree species, including Alnus glutinosa (L.) Gaertn. (Chmielarz 2010a), Coffea L. spp. (Dussert et al. 1998), Prunus armeniaca L. (Malik and Chaudhury 2010), and Prunus padus L. (Popova et al. 2016). Together with the ex situ approach, cryopreservation has been successfully utilized in conservation programs for herbaceous plants such as Cosmos atrosanguineus (Hook.) Voss (Wilkinson 2003) and Lomandra sonderi (F.Muell.) Ewart (Menon et al. 2014). Cryopreservation, together with modern biotechnology, has been implemented in conservation programs for many national floras, including those of Brazil (Pilatti et al. 2011), Spain (González-Benito and Martín 2011), and Australia (Ashmore et al. 2011).

In our study, we examined Pterocarya fraxinifolia (Caucasian wingnut) of the walnut family (Juglandaceae) as a model relict tree species that belongs to the group of so-called Arcto-Tertiary relic trees and grows in the lowlands and riparian habitats of South Caucasus and Anatolia and the Caspian (Hycranian) forests of Iran, Georgia, Turkey, and Azerbaijan (Boratynski and Boratynska 1975; Kozlowski et al. 2018). During the Oligocene and Miocene epochs, the species was widespread in the Northern Hemisphere, but because of climate cooling over the last 15 million years, it became restricted to southern refugial areas characterized by a more stable climate (Manchester 1987; Milne and Abbott 2002; Maharramova et al. 2017). In some locations, the population growth of the species depends on individual clonal reproduction via root sprouts, as natural regeneration via seeds is poor and the majority of the seeds are empty (up to 90%; Yilmaz 2015). Pterocarya fraxinifolia populations are characterized by intermediate to low genetic diversity (Akhanli and Salimian 2003; Yilmaz 2015; Maharramova et al. 2017; Yousefzadeh et al. 2018), and there is a danger that if the habitats of this species continue to degrade, it may — similar as in Alnus Mill. spp. and other riparian species (Barsoum et al. 2004; Dering et al. 2017) — lead to a significant increase in clonality. Although some of the populations are protected within national parks in Georgia, Azerbaijan, and Iran, more protection is necessary — especially considering edge and stand demographic changes to preserve the genetic diversity of Pterocarya fraxinifolia (Kozlowski et al. 2018). In the scientific literature, there is a lack of comprehensive studies on Pterocarya fraxinifolia seed traits (storage behavior, desiccation tolerance, and dormancy) regarding the preservation of genetic diversity. Pterocarya fraxinifolia seeds have physiological dormancy, and Çiçek and Tilki (2008) found that the release of Pterocarya fraxinifolia seeds requires at least 5 weeks of cold stratification in 4 °C without a medium, as seeds in control treatments did not germinate without stratification. However, no detailed studies on dormancy release for seeds from natural stands have been conducted, and information about dormancy in other species of Pterocarya Kunth is sparse and indeterminate. According to the Seed Information Database (Royal Botanic Gardens Kew 2008), Pterocarya fraxinifolia seeds are considered to be orthodox, despite the lack of information on their desiccation tolerance at <5% MC and storage viability in sub-zero temperatures. General knowledge of storage behavior in the Juglandaceae family is understudied and sometimes outdated. It is crucial to understand seed traits of these species to develop and implement long-term methods of conservation (i.e., cryopreservation); however, seed tolerance to storage at low (sub-zero) temperatures (−3, −18, and −196 °C) is unknown for Pterocarya fraxinifolia. The aim of the present study was to examine the biological traits of Pterocarya fraxinifolia seeds, including their desiccation tolerance, dormancy type, and tolerance to sub-zero temperatures, to establish an effective method for the conservation of the species’ genetic resources. More specifically, we examined (i) the critical moisture content (CMC) of Pterocarya fraxinifolia seeds, (ii) the high moisture freezing limit (HMFL) for cryopreserved seeds, (iii) stratification methods (with and without a medium), and (iv) the viability of the seeds after 1 year of storage at three different temperatures (−3, −18, and −196 °C).

**Materials and methods**

**Collection and MC**

Mature fruits of Pterocarya fraxinifolia were collected in September 2016 from 20 individual trees growing along Ninoshevi River (220 m from each other) in Ninigori, Eastern Georgia (South Caucasus, 41°49’52”N, 46°12’28”E), and were transferred to the lab. The semi-orbicular wings were wiped off with sand during cleaning, and all remaining impurities were removed using sieves. Seeds were collected dry and had an initial MC of 11.5%. The term “nutlet” used in this paper refers to the true fruit of Pterocarya fraxinifolia (the central part of the fruit, with a hard pericarp that is enclosed in the fleshy, green tissue issued from the fused floral elements, mainly the bracteoles) (Figs. 1A and 1B; Schaarschmidt 2006). MC was determined separately for (i) the nutlet, containing the seed and pericarp, and (ii) the seed only. However, for practical reasons, the seed MC used throughout this paper applies to the nutlets. The MC (%) was calculated based on fresh mass using the following formula:

\[
MC = \frac{FM - DM}{FM} \times 100
\]

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where MC is the moisture content, FM is the initial fresh mass, and DM is the dry mass after drying.

The MC of the nutlets used for cryopreservation was adapted (either by drying or moisturizing) to obtain 10 levels of MC ranging from 3% to 30%, with increments of approximately 3%. Nutlets with MC > 10% were moisturized several times with water to obtain a higher MC, up to 30%. A lower MC was obtained after desiccation over activated silica gel. Adjusting the MC of the nutlets was based on the FM of the moisturized or desiccated seeds according to the following formula:

\[
FM_2 = \frac{FM_1 \times (100 - MC_1)}{100 - MC_2}
\]

where FM is the desired mass and MC is the desired moisture content. After obtaining the desired MC, the nutlets were left in tightly closed containers for 3–5 days at 3 °C to even out the MC.

After reaching the mass of the desired MC level, the exact MC was determined by drying the nutlets at 103 ± 2 °C for 17 h (three replicates of 10 nutlets). Seed CMC was determined by a germination test of the lowest level of tested MC (2.3%). HMFL was set for the highest seed MC that tolerated cryopreservation.

**Stratification and germination test**

To break the dormancy of the seeds, the *Pterocarya fraxinifolia* nutlets underwent cold stratification at 3 °C after storage. In our experiment, we used five different stratification methods to determine the most sufficient method that could be later used in a cryopreservation experiment: (A) 12 weeks at 3 °C in a stratification medium; (B) 8 weeks at 3 °C in a stratification medium; (C) 12 weeks at 3 °C without a stratification medium after moisturizing the nutlets up to 40% MC; (D) 12 weeks at 3 °C without a stratification medium, soaking the seeds for 1 h once per week and, after 4 weeks of stratification, soaking the seeds every 2 weeks; and (E) submergence in LN for 48 h and stratification for 12 weeks at 3 °C.

The stratification medium used in our experiment was a moist mixture (v/v, 1/1) of sieved peat (pH 3.5–4.5) and quartz sand fraction (<1 mm). Stratification without a substrate occurred in plastic boxes with a lid. The seeds in method C were soaked in tap water for 1 h. Then, the water was drained from the boxes and the moist seeds were covered with a lid and kept inside the box. Subsequently, the box with wet seeds was placed at 3 °C. The moisture of the stratification medium and the visual condition of the nutlets were checked once per week regardless of the stratification method used.

The stratification medium was mixed with the nutlets in a 3:1 ratio and placed in 25 mL plastic bottles with a lid. Each lid had three 0.5 cm diameter holes allowing gas exchange. The conditions of the nutlets and the substrate were monitored once per week to avoid soil drying.

The seed germination test for all experiments was performed after stratification in the same soil substrate as previously described. The germination test was conducted in darkness, with the temperature cyclically alternating between 3 and 20 °C (16 and 8 h per day, respectively). Once per week, the germinated seeds were counted and discarded. After 10 weeks, the remaining nutlets were cut to examine seed viability.

A seedling emergence test was also conducted in the same soil mixture of peat and sand that was used in the germination test. After stratification, the nutlets were sown in plastic boxes into the substrate at a depth of 1 cm and covered with a layer of sand. To avoid severe drying of the substrate, the boxes were covered with a transparent plastic lid. The seedling emergence test was conducted under the same thermal conditions as the germination test (3 and 20 °C, 16 and 8 h per day, respectively) until the seedling reached ca. 2 cm in height. Then, the boxes with the seedlings were moved into the light (60 μmol·m−2·s−1 for 8 h per day) in a chamber with a controlled temperature of 20 °C. The experiment investigating seed cryopreservation and storage time consisted of three replications per 30 nutlets, and the experiment evaluating the best stratification method consisted of four replications per 50 nutlets.

**Cryopreservation and storage**

Before freezing (at −196 °C), the nutlets were placed in a plastic bag, which was tightly sealed. The material was frozen by direct immersion in LN for 48 h. Subsequently, plastic bags with the material were thawed at 42 °C in a water bath for 5 min.

The nutlets were stored at temperatures of −3 and −18 °C in LN for 2 weeks and for 1 year, with an 11.8% MC. The nutlets that were not stored were used as a control treatment.

**Statistical analyses**

Data were analyzed using R statistical computing software (R Core Team 2017). We used analysis of variance (ANOVA) to analyze significant differences between the mean values and Tukey’s test for the pairwise comparisons. Mean values from each variant were calculated from three replications of the seed sample. Each replicate was placed in a separate box and then randomly placed in an incubator or Dewar (one incubator per each temperature). Analyses were performed after Bliss transformation (arc sine) of the proportional data. We analyzed seed treatment used in each experiment as the fixed effect in a one-way ANOVA. For the cryo-

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Fig. 1. (A) Illustration of *Pterocarya fraxinifolia* (a) fruit and (b) nutlet and (c) a longitudinal section of a nutlet with a seed inside. (B) A seedling emerging from a nutlet, (C) a seedling, and (D) a 1-year-old seedling planted from a cryopreserved seed. [Color online.]

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preservation experiment, we used a two-way ANOVA with integrations for MC level and LN treatment. ANOVAs and Tukey’s tests were performed separately for the germination and emergence tests.

Results

Seed MC, stratification, and germination

The highest germination rate (70.5%) was obtained after submerging the seeds in LN for 48 h prior to 12 weeks of cold stratification (method E; Fig. 2). Stratification for 12 weeks at 3 °C without a stratification medium, without soaking; (D) 12 weeks without a stratification medium, with periodical soaking of seeds; and (E) submergence in liquid nitrogen and stratification for 12 weeks.

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Discussion

Our study represents the first comprehensive assessment of the seed traits of *Pterocarya fraxinifolia*, from its natural stands in Transcaucasia, to determine the most appropriate ex situ conservation method for this important and endangered relict tree. We focused on seeds, examining their dormancy, storage behavior, desiccation tolerance, and feasibility for cryopreservation, which resulted in practical insights that are valuable for future conservation protocols.

Seed dormancy, desiccation, and freezing tolerance

Our study confirms that *Pterocarya fraxinifolia* seeds are characterized by endogenous, nondeep physiological dormancy, which can be overcome by 3 weeks of cold stratification at 4 °C (Çiçek and Tilki 2008). However, to maximize seed germination, 5–8 weeks of cold stratification is recommended, depending on the temperatures used during the germination process. In comparison, seeds of *Pterocarya stenoptera* C. DC. germinated at a rate of 16% without stratification, and the highest germination rate of 56% was recorded after just 3 weeks at 3 °C. The prolongation of the cold period of stratification resulted in a decrease in germination (Grbić et al. 2011). In contrast, Wang et al. (2018) reported an 89% germination rate after 4 weeks of cold stratification for *Pterocarya stenoptera* seeds. Our study confirmed that the 12 weeks of stratification suggested by Young and Young (1992) can be shortened without a decrease in germination. The use of LN in pretreatment as a low-temperature factor promoting the permeability of the seed coat (Jastrzębski et al. 2017) did not result in a significant increase in germination. We suggest to not moisturize nutlets to an MC > 40% because it could result in seed decay (Table 1) and therefore lower the rate of germination. Our results indicate that in nursery practice, sowing nutlets after 8 weeks of cold stratification with or without medium would be most effective.
According to our results, the dormant seeds of *Pterocarya fraxinifolia* maintained high rates of germination and seedling emergence, even after strong desiccation of the nutlet to 2.8% MC; therefore, we did not record a CMC for this species. Moreover, the nutlets stored at sub-zero temperatures (−3, −18, and −196 °C) remained viable after 1 year of storage. Based on these results, *Pterocarya fraxinifolia* seeds can be classified as orthodox seeds. Until now, the storage behavior of *Pterocarya fraxinifolia* seeds has never been clearly determined in the literature. In the Seed Information Database (Royal Botanic Gardens Kew 2008), *Pterocarya fraxinifolia* seeds are considered “likely orthodox”, but some authors (Young and Young 1992) refer to safe storage for only for short periods of time without stating the proper MC or storage temperature. In some publications, *Pterocarya fraxinifolia* seeds are mistakenly classified as recalcitrant (Gordon and Rowe 1982; Grbic et al. 2011). These statements are based on only brief observations, and clarification is needed for the proper conservation of this species. In our research, *Pterocarya fraxinifolia* seeds were successfully stored at temperatures of −3, −18, and −196 °C for 1 year at an MC level of 11.9%, which suggests the possibility of long-term storage of the seeds in gene banks, both at traditionally used temperatures (−18 or −20 °C) and through cryopreservation. Seed viability after longer storage times still needs to be examined in the future.

Our work seems to confirm the results obtained for some other species of the Juglandaceae family. The majority of these trees appear to produce orthodox or intermediate seeds, although in the literature, evidence is often ambiguous. Gordon and Rowe (1982) recommended storing seeds of Juglandaceae spp. at approximately 15% of their MC and above 0 °C, which is similar to the storage conditions for many intermediate seed species. McIlwrick et al. (2000) described *Juglans cinerea* L. seeds as recalcitrant, which do not survive desiccation below a 15% MC or storage below −40 °C. In general, seed behavior can differ among species within a specific family or even within a genus; for example, in the genus *Acer* L., most (but not all) of its member species produce orthodox seeds (Suszka et al. 1996). Sometimes differences in seed desiccation tolerance for a given species could be both geographical and taxonomical, as in species of the genus *Ararcaria* Juss. (Tompsett 1983). One species of the genus *Pterocarya* with seeds confirmed for ex situ storage is *Pterocarya macroptera* Batalin, for which 89% of the viable seeds were stored at 15% relative humidity (ca. 5% seed MC) at −20 °C for 1 month (Royal Botanic Gardens Kew 2008). In our study, we examined the viability of *Pterocarya fraxinifolia* seeds after 1 year at three different temperatures (including treatment with LN). Clear descriptions of storage behavior are crucial for conservation programs. Occasionally, data described in the literature can differ, as in the case of *Carya illinoensis* (Wangenh.) K. Koch, which was previously thought to be a recalcitrant species (King and Roberts 1979) but was proven to be an orthodox species, surviving desiccation below 5.3% MC (Bonner 1976; Dimalla and van Staden 1978; Goff et al. 1992).

Feasibility of seed cryopreservation and nutlets storage

We examined whether *Pterocarya fraxinifolia* seeds could be effectively cryopreserved when the nutlets were desiccated at an MC in a safe range of 2.8%–18.1% before storage in LN. A similar range of MC has been reported for some other orthodox species: *Alnus glutinosa* (2.7%–19.2%), *Ulmus glabra* Huds. (3.3%–19.2%), and *Betula pendula* Roth (2.0%–23.2%) (Chmielarz 2010a, 2010b, 2010c). However, some other riparian species, including *Salix caprea* L. (8.5%–23.4%; Popova et al. 2012) and *Populus nigra* L. (10%–15%; Michalak et al. 2015), have lower desiccation tolerances. The HMFL for these species is similar to our results for *Pterocarya fraxinifolia* (18.1% MC; Fig. 5). We described for the first time the successful cryopreservation of seeds from the Juglandaceae family, although there are many previous reports of the effective cryopreservation of the embryo axes from species of the genera *Juglans* L. and *Carya* Nutt. (Pence 1990). Excised embryos of *Juglans nigra* L. can be cryopreserved for up to two decades without a significant loss of the initial viability (Ballesteros and Pence 2019). Seedling emergence in *Pterocarya fraxinifolia* did not differ when compared with germination, as occurred for *Hippophae rhamnoides* L. seeds (M. Wawrzyniak, personal communication), for which LN-treated seeds at some MC levels germinated but failed to establish healthy seedlings.

Although there is still a lack of information about the long-term storage of *Pterocarya* spp. and most other species of Juglandaceae, our research shows that no damage occurs after 1 year of storage in LN (Figs. 1C and 1D). Thus, we assume that longer storage of *Pterocarya fraxinifolia* is possible. Temperatures of −3 and −18 °C, which are usually suggested for short- and long-term storage in gene-bank practice, also seem to be effective for *Pterocarya fraxinifolia*. Cryopreservation offers the most stable conditions for seed storage, potentially for hundreds of years (Pritchard et al. 2014).
Conclusions

The seeds produced by Pterocarya fraxinifolia are classified as orthodox because they withstand desiccation below 5% MC and can be stored at sub-zero temperatures for at least 1 year without losing viability. Based on our results, Pterocarya fraxinifolia seeds collected from natural endangered stands can be germinated after 8 weeks of cold stratification, resulting in seedlings 6–7 weeks after sowing. The safe range of MC allows for the effective storage of seeds in LN. Thus, cryopreservation can be implemented in conservation programs and protocols for this highly valuable relic tree species.

Acknowledgements

This work was supported by the Fondation Franklinia and the Institute of Dendrology, Polish Academy of Sciences, Kórnik, Poland (under statutory activity) [A.K.J., M.W., and P.C.]. We thank two anonymous reviewers for their valuable comments, which greatly improved this manuscript.

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